

# Open a Schwab IRA

**Schwab.com**

**1-800-435-4000**  
(inside the U.S.)

**+1-415-667-8400**  
(outside the U.S.)

**1-888-686-6916**  
(multilingual services)

**We're here to help.**

**Welcome to Charles Schwab.**

- Use this form to open an IRA, a SEP-IRA, or a SIMPLE IRA.
- If you are opening an IRA, return the form to Schwab.
- For details about small business retirement plans such as SIMPLE (Savings Incentive Match Plan for Employees) IRAs and SEP- (Simplified Employee Pension) IRAs, go to Schwab.com.
- If you are opening a SEP-IRA or SIMPLE IRA, return the form to your employer.
- SEP-IRA and SIMPLE employers: Attach your Adoption Agreement or IRS Form 5305-SEP and your Employer's Agreement with Schwab and return to Schwab along with this application.

**As You Fill Out This Form, Please Remember To:**

- **Select the type of account in Section 1.**
- **Enclose a check or money order (\$1,000 minimum) or choose another funding option in Section 4.**
- **Complete, sign, and date the form in blue or black ink.**

You'll find instructions on how to fill out this form in this column.

**1 Select the Type of Account**

Check only one box.

If you are self employed and establishing a SEP or SIMPLE IRA, check the employer box.

<p><b>IRA</b></p> <p><input type="checkbox"/> Traditional IRA</p> <p><input type="checkbox"/> Roth Contributory IRA</p> <p><input type="checkbox"/> Rollover IRA</p>	<p><b>SEP-IRA</b></p> <p>Schwab SEP-IRA</p> <p><input type="checkbox"/> I am the employer</p> <p><input type="checkbox"/> I am an employee</p> <p>IRS 5305-SEP</p> <p><input type="checkbox"/> I am the employer</p> <p><input type="checkbox"/> I am an employee</p>	<p><b>SIMPLE IRA</b></p> <p><input type="checkbox"/> I am the employer</p> <p><input type="checkbox"/> I am an employee</p> <p>_____</p> <p><b>Employer's Group ID Number</b></p>
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**2 Tell Us About Yourself**

We respect your privacy and will only use this information you provide to open and service your account(s), communicate with you, and provide information about products and services. Read about Schwab's privacy policy at schwab.com/privacy.

Account Holder

\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_

**Name** *Title, First* *Middle* *Last, Suffix*

\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|

**Social Security Number** **Date of Birth** (mm/dd/yyyy)

\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_

**Mother's Maiden Name**

**Country(ies) of Citizenship:**

USA  Other(s) \_\_\_\_\_

**Country of Legal Residence:**

USA  Other(s) \_\_\_\_\_

For Charles Schwab use only:

Account No. \_\_\_\_\_

Branch Office \_\_\_\_\_